

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Heng Liu

Assignee: BridgeLux, Inc.

Title: Chemical Vapor Deposition Reactor

Application No.: 10/727,746

Filing Date: December 3, 2003

Examiner: Ram N. Kackar

Group Art Unit: 1763

Docket No.: M-15626-1D US

Confirmation No.: 8880

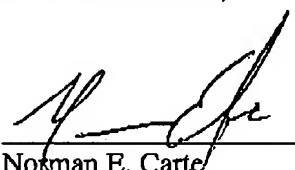
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Irvine, California  
November 5, 2007Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following documents are being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

- 1) This Transmittal; and
- 2) Supplemental Information Disclosure Statement;
- 3) Form PTO-1449 (*Substitute*).

Dated: November 5, 2007  
Norman E. Carte  
Reg. No. 30,455Number of pages (including this sheet): 4MacPherson Kwok Chen & Heid LLP  
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Alexandria, VA 22313-1450**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§1.56, 1.97 and 1.98**

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application.

Citation of these documents shall not be construed as:

- (1) an admission that the documents are necessarily prior art with respect to the instant invention;
- (2) a representation that a search has been made, other than as described above; or
- (3) an admission that the information cited herein is, or is considered to be material to patentability.
- (4) The cited references have been cited in the now abandoned parent patent application serial number 11/064,984, filed February 23, 2005.

***Enclosed with this statement are the following:***

- ☒ Form PTO-1449 (*Substitute*). The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.

-1-

Application No.: 10/727,746

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- ☒ A copy of each cited document as required by 37 C.F.R. §1.98 (*except where otherwise indicated*).

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Complete copies are not submitted of U.S. patents and U.S. patent application publications per 37 C.F.R. §1.98(a)(2)(ii), and copies are not submitted of documents already cited or submitted in a parent application from which benefit under 35 U.S.C. §120 is claimed per 37 C.F.R. §1.98(d).

*This statement should be considered because:*

- ☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:
- ☐ It is being filed within 3 months of the application filing date of a national application other than a continued prosecution application under §1.53(d);  
-- OR --
  - ☐ It is being filed within 3 months of entry of the national stage as set forth in §1.491 in an international application;  
-- OR --
  - ☐ It is being filed before the mailing date of a first Office action *on the merits*;  
-- OR --
  - ☐ It is being filed before the mailing date of a first Office action *after the filing of an RCE under §1.114*.

whichever occurs last.

- ☒ Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:
- (1) It is being filed before the mailing date of a FINAL Office Action and before a Notice of Allowance or another action closing prosecution (whichever occurs first);  
-- AND (*check at least one of the following*) --
  - ☒ (1) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p);  
-- OR --
  - ☐ (2) Pursuant to 37 C.F.R. §1.97(e), each item of information contained in the information disclosure Statement was first cited in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

- ☐ Although it may not qualify under subsections (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

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- (1) Pursuant to 37 C.F.R. §1.97(e), each item of information contained in the information disclosure Statement was first cited in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;

-- AND --

- (2) It is accompanied by the fee set forth in 37 C.F.R. §1.17(p);

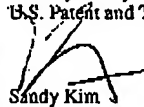
-- AND --

- (3) It is filed on or before payment of the Issue Fee.

☒ **Fee Authorization.** The Commissioner is hereby authorized to charge a fee of \$180.00 to Deposit Account No. 50-2257. Please charge any additional fee(s), charge any underpayment of fee(s), or credit any overpayment associated with this communication to Deposit Account No. 50-2257.

## Certification of Facsimile Transmission

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

  
Sandy Kim

November 5, 2007

Date of Signature

Respectfully submitted,



Norman E. Carte  
Attorney for Applicants  
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Customer No. 32,605

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